

Confirmation of Verification of Identity

| 1 Details of individual – one certificate per applicant | | Please fill in the form using BLOCK CAPITALS and black ink. Tick any boxes which apply. | | | | | | | | | |
|--|--|---|---|---|---|---|---|---|---|---|---|
| Full name of customer <input type="text"/> | Date of birth <table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table> | D | D | M | M | Y | Y | Y | Y | Previous address (if customer has changed address in the last three years) <input type="text"/> <input type="text"/> <input type="text"/> Postcode <input type="text"/> | |
| D | D | M | M | Y | Y | Y | Y | | | | |
| Current address <input type="text"/> <input type="text"/> <input type="text"/> Postcode <input type="text"/> | | | | | | | | | | | |
| 2 Confirmation by intermediary | | | | | | | | | | | |
| I/we confirm that: | | Signed <input type="text"/> | | | | | | | | | |
| a) the information in section 1 above was obtained by me/us in relation to the customer; | | Name <input type="text"/> | | | | | | | | | |
| b) the evidence I/we have obtained to verify the identity of the customer: (tick only one) | | Position <input type="text"/> | | | | | | | | | |
| Meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or <input type="checkbox"/> | | Date <table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table> | | D | D | M | M | Y | Y | Y | Y |
| D | D | M | M | Y | Y | Y | Y | | | | |
| Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation). <input type="checkbox"/> | | | | | | | | | | | |
| 3 Details of introducing firm (or sole trader) | | | | | | | | | | | |
| Full Name of Regulated Firm (or Sole Trader): <input type="text"/> | | FCA Reference Number: <input type="text"/> | | | | | | | | | |

Explanatory notes

- A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
- This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those who have been subject to Simplified Due Diligence under the Money Laundering Regulations;
 - or
 - those whose identity has been verified using the source of funds as evidence.

